

**CREDIT INSTITUTION RULEBOOK ON TARIFFS  
UNICREDIT BANK SERBIA JSC, BELGRADE**

**I GENERAL PROVISIONS**

1. The tariff for the collection of commissions and other fees for the services provided by the credit institution UniCredit Bank Serbia JSC, Belgrade (hereinafter: "**Credit Institution**") is determined for the following investment and additional investment services:
  - 1) reception and transmission of orders concerning one or more financial instruments;
  - 2) execution of orders on behalf of clients;
  - 3) underwriting of financial instruments and/or placing of financial instruments on a firm commitment basis;
  - 4) placing of financial instruments without a firm commitment basis;
  - 5) safekeeping and administration of financial instruments for the account of clients (custody services) and related services such as cash/collateral management, excluding providing and maintaining securities accounts as referred to in Chapter XIV of this Law;
  - 6) granting credits or loans to an investor to allow carrying out a transaction in one or more financial instruments, where the firm granting the credit or loan is involved in the transaction;
  - 7) advice to business entities on capital structure, industrial strategy, and related matters, and advice and services relating to mergers and the purchase of business entities;
  - 8) foreign exchange conversion services concerning the provision of investment services;
  - 9) investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments;  
and
  - 10) services related to underwriting.
  - 11) investment services and activities as well as, ancillary services, pertinent to the underlying derivatives referred to in Article 2 point 19) subpoints (5), (6), (7), and (10) of the Law, where these are related to the provision of investment or ancillary services.
  
2. The amounts of the bank's commissions and other fees do not include bank services taxes; commissions and other costs of the Central Securities, Depository and Clearing House arising from carrying out its activities; commissions and other charges of the Belgrade Stock Exchange, the Securities Commission and the Shareholder Fund if the bank is buying or selling securities from the Shareholder Fund's portfolio, commissions and fees paid to institutions used for clearing and settlement of transactions, custody banks, clearing houses and intermediaries on the foreign market through which orders are placed and executed, costs of other legal entities and institutions that participate in the trading, clearing, and settlement, value-added tax and other tax obligations of clients following positive legal regulations, as well as commissions and fees of all other third parties which participate in the business with financial instruments, as well as the costs of payment operations.

**II INVESTMENT FEES AND ADDITIONAL INVESTMENT SERVICES FEES**

<b>Investment service/Additional investment service</b>	<b>Fee</b>	<b>Absolute Maximum amount of the lower fee threshold*</b>
Reception and transmission of orders concerning one or more financial instruments;	Up to 3.00%	EUR 1,000.00
Execution of orders on behalf of clients	Up to 3.00%	EUR 1,000.00

Investment service/Additional investment service	Fee	Absolute Maximum amount of the lower fee threshold*
Underwriting of financial instruments and/or placing of financial instruments on a firm commitment basis	Under the contract, a maximum of 5.00% of the value of the issue	-
Placing of financial instruments without a firm commitment basis	Under the contract, a maximum of 5.00% of the value of the issue	-
Safekeeping and administration of financial instruments for the account of clients (custody services) and related services such as cash/collateral management, excluding providing and maintaining securities accounts as referred to in Chapter XIV of this Law		
1) <i>Opening and closing accounts of financial instruments</i>	Maximum up to EUR 1,000	
2) <i>Settlement of transactions according to the DVP method, per order</i>	Maximum up to EUR 50,000	-
3) <i>Transfer of financial instruments according to the FOP method, per order</i>	Maximum up to EUR 30,000	-
4) <i>Other activities with financial instruments concerning the change of ownership, per order</i>	Maximum up to EUR 50,000	-
5) <i>Other activities with financial instruments that are not concerning the change of ownership, per order</i>	Maximum up to EUR 30,000	-
6) <i>Compensation fee for correcting a settlement order, per order</i>	Maximum up to EUR 100	-
7) <i>Compensation fee for canceling a settlement order, per order</i>	Maximum up to EUR 100	-
8) <i>Safekeeping of financial instruments</i>	Maximum up to 3.00% per annum	EUR 3,000 per month
9) <i>Corporate actions, revenue collection based on ownership of financial instruments, representation at shareholders' meetings</i> <ul style="list-style-type: none"> <li>- <i>Fee for the execution of the client's order based on corporate action (eg registration/depositing of shares, etc) per order</i></li> <li>- <i>Monetary settlement of inflow based on financial instruments' order (dividends, coupons, payment of principal at maturity, etc) per inflow</i></li> <li>- <i>Fee for representation and voting at the shareholders' meeting, per order</i></li> </ul>	Maximum up to 3.00%	EUR 300
10) <i>Registration and cancellation of pledges on financial instruments (per order)</i>	Maximum up to EUR 20,000	
11) <i>Intermediation in pledges on financial instruments</i>	Maximum up to EUR 20,000	
12) <i>Minimum fee for the duration of the contractual relationship</i>	Maximum up to EUR 3,000 per month	
13) <i>Delivery of account statements upon request</i>	Maximum up to EUR 50	

<b>Investment service/Additional investment service</b>	<b>Fee</b>	<b>Absolute Maximum amount of the lower fee threshold*</b>
14) <i>Extraordinary sending of reports, per page</i>	Maximum up to EUR 100	
15) <i>Creating and sending non-standardized reports</i>	Maximum up to EUR 500	
Granting credits or loans to an investor to allow carrying out a transaction in one or more financial instruments, where the firm granting the credit or loan is involved in the transaction	Maximum 3M BELIBOR + 30%	
Advice to business entities on capital structure, industrial strategy, and related matters, and advice and services relating to mergers and the purchase of business entities	By the contract	-
Foreign exchange conversion services about the provision of investment services	0.00%-5.00%	-
Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments	By the contract	-
Services related to underwriting	By the contract	-
Investment services and activities as well as ancillary services, pertinent to the underlying derivatives referred to in Article 2 point 19) subpoints (5), (6), (7), and (10), where these are related to the provision of investment or ancillary services	0.00%-5.00%	-

\*For fees expressed in EUR currency, the official middle exchange rate of the NBS on the day of the transaction shall be applied during billing, i.e. at the end of the accounting period

### III SPECIAL AND FINAL PROVISIONS

1. A credit institution may define a particular arrangement that includes commissions and fees for an individual service or multiple contracts provided to the client in the entire amount, to the extent permitted by applicable regulations.
2. When the Bank incurs certain actual costs while performing activities for the client, including when the credit institution is liable for the client's tax or other obligations while providing services to the client, the client is obliged to reimburse the bank for the incurred costs in full as per issued invoices.
3. This Tariff Rulebook enters into force on the day of the adoption of the decision on giving consent to the Business Rules adopted on 21 December 2022 by the Commission, and is applicable on the day the Rules of Business of Credit Institutions become applicable, i.e. after the expiry of 7 (seven) days from the date of publication of the above named Business Rules.
4. A credit institution will publish the Rulebook on Tariffs, as well as any further amendments to it (before the implementation and after obtaining the approval of the Commission), on its website at least 7 (in letters: seven) days before the implementation.
5. This Rulebook on Tariffs being applicable, the Rulebook on Tariffs of the Authorized Bank UniCredit Bank Serbia JSC, Belgrade as of 28 February 2017 ceases to be valid.

**Belgrade, December 21, 2022**

**FOR UNICREDIT BANK SERBIA JSC BELGRADE:  
MANAGEMENT BOARD**